# Update on the Next Accreditation System Drs. Culley, Ling, and Wood

Anesthesiology April 30, 2014



# **Background of the Next Accreditation System**

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# Goals of The "Next Accreditation System"

- To begin the realization of the promise of Outcomes
- To free good programs to innovate
- To assist poor programs to improve
- To reduce the burden of accreditation
- To provide accountability for outcomes (in tandem with ABMS) to the Public

# Where are we going? The Next Accreditation System

- Continuous Accreditation Model
- Review programs every 10 years with self-study
- Leave Good Programs alone
- Good Programs can innovate detailed standards
- Identify weak programs earlier
- Site visit or progress report from weak programs
- Weak programs held to detailed standards

#### Where did we come from?

- 2002 Six Core competencies in PR
- 2012 work done so far
  - Core and Detailed Process
  - Outcome in Requirements
  - New policies and procedures
  - ADS rebuilt to prepare for NAS
  - Annual update: free text replaced by data
  - Scholarly activity replaces CVs
  - 2012 Milestones 1.0 developed



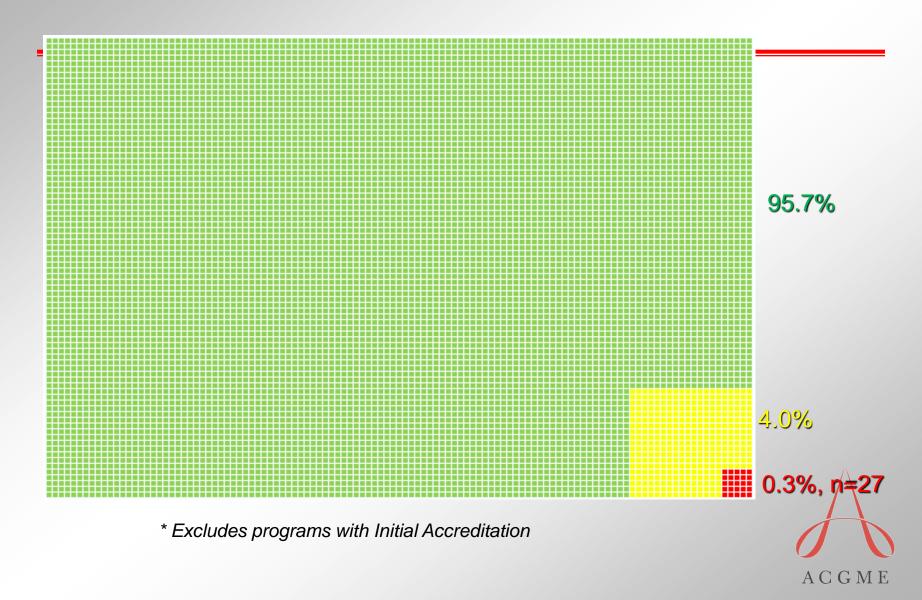
# All 9,022 ACGME Pre-NAS Accredited Residency and Fellowship Programs 2013\*



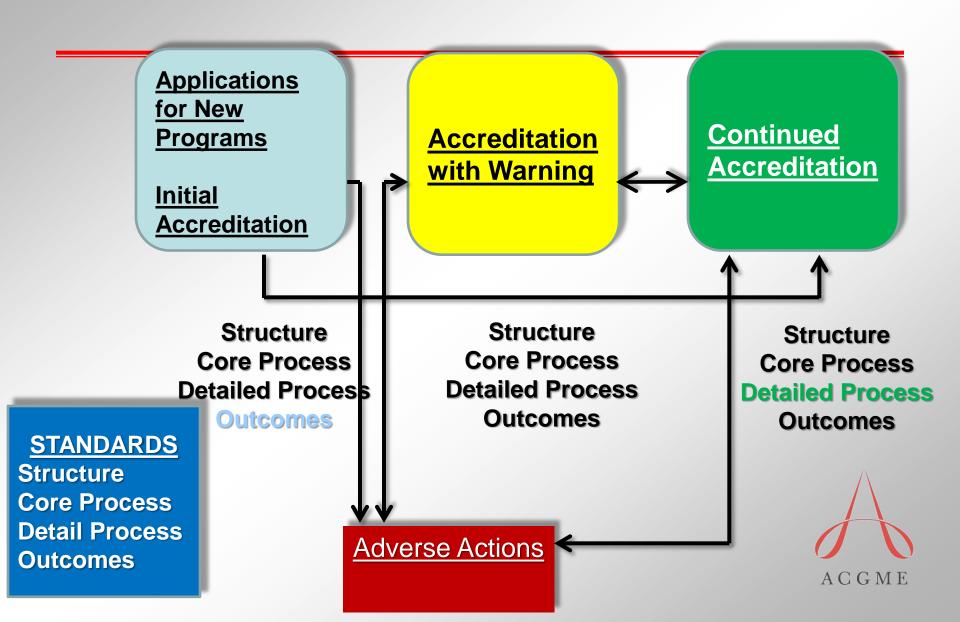
<sup>\*</sup> Excludes programs with Initial Accreditation



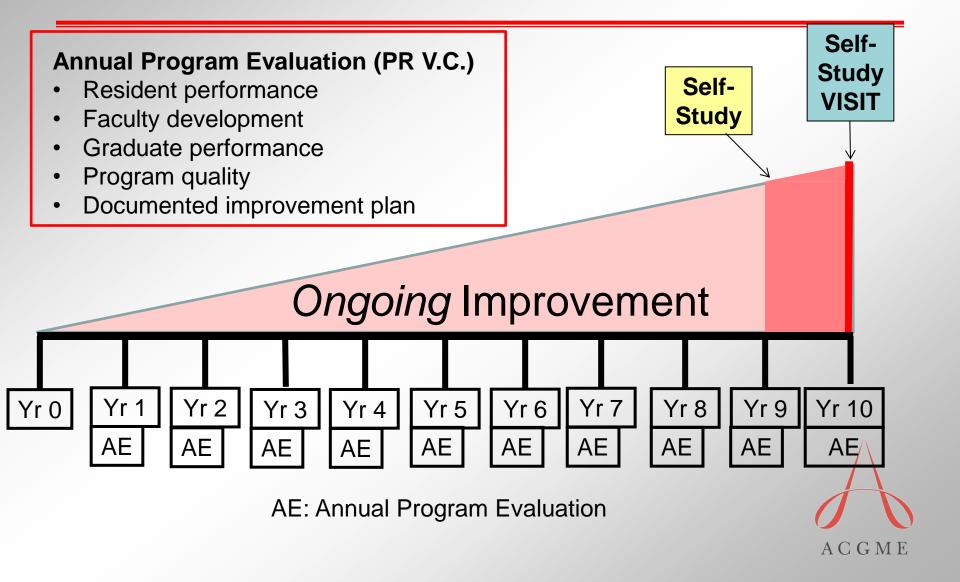
## All 9,022 ACGME Pre-NAS Accredited Residency and Fellowship Programs 2013\*



#### **Accreditation Statuses**



## Ten Year Self-Study Visit



## Work of the RRC

Margaret Wood, M.B., Ch.B. Chair, Anesthesiology RRC



## The Next Accreditation System

- Screening based on annually submitted data
  - ADS annual update
  - Resident Survey
  - Faculty Survey (new for core faculty)
  - Milestones Data (new, will be phased in)
  - Procedure or Case Logs
  - Boards Pass Rate Data
  - Scholarly Activity (new format replaces CVs)



## The Next Accreditation System

- RRC review programs based on RRC set performance indicators and thresholds
  - High performing programs moved to consent agenda
  - Programs with potential problems require more information with a progress report or site visit



## Review Process in the Next Accreditation System

- RRC screens programs using annual outcome data – high level screening
  - 1. No review comparing to requirements
  - 2. Identify some programs for closer look
  - 3. Decide what information to gather
- Every program will get an accreditation letter every year



#### Step-wise review of programs in NAS

3.

1.

Key annual data elements screen programs

95% of programs receive Continued Accreditation

2.

Additional information needed (site visit, progress report)

Committee reviews all information to make annual accreditation decision



#### RRC Decisions for the Green Box

- 1. Continued accreditation (likely)
  - 1. No cycle length any more
  - 2. May note areas for improvement
  - 3. May note trends
  - 4. May issue citations (unlikely)
- 2. RRCs wants more information
  - 1. Clarification or progress report from PD
  - 2. Focused site visit for specific concern
  - 3. Full site visit for general concern



#### From the Green to the Yellow Box

- 1. Continued accreditation (with warning)
  - 1. Public status is Continued Accreditation
  - 2. Analogous to old 1-2 year cycle
  - 3. RRC data review next year

#### 2. Probation

- Requires a site visit before going on probation
- 2. Site visits will have short notice and no PIF

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3. Requires a site visit before going off probation

#### Decisions for the Yellow Box

- Continued accreditation (green box)
   Probation can only be lifted after a site visit
- 2. Continued accreditation (with warning)
- 3. Probation (max 2 years)
- 4. Withdraw accreditation (red box)
- 5. Request additional information
  - 1.Progress report
  - 2. Site visit, focused or full



#### Decisions for the Red Box

- No longer <u>proposed</u> adverse actions
- Can go directly to (warning) from any status
- Can go directly to probation from any status (site visit required)

 Faster to get off an adverse action after a site visit

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## Decisions for Applications

- 1. Withhold accreditation
- 2. Initial accreditation

- Subspecialties based on application only
- Core programs require an application and a site visit



#### Decisions for Initial Accreditation

Requires a full site visit within 2 years

- 1. Continued Accreditation (green box)
- 2.Initial accreditation with warning (for one more year)
- 3. Withdrawal accreditation (red box)
- 4. No probation (either up or out)



# To summarize...Adverse Actions What has changed

- No proposed adverse actions
- Adverse accreditation status can only be conferred following a site visit
- Programs with adverse accreditation status cannot request an increase in resident complement
- Probation cannot exceed 2 consecutive annual reviews

# To summarize...Adverse Actions What hasn't changed

- A program on Withdrawal can complete the current academic year
  - With RRC approval can complete 1 more year
- No new residents can be appointed
- If program re-applies within 2 years, they must address previous citations
  - A site visit is needed for all applications following a withdrawal

## Relationship of Core and Subs

# Fellowships must have a relationship with a core residency program

- Self-study visits of core and associated fellowships will occur at the same time
- Adverse action in core results in the same status for their associated fellowships
  - Withdrawal of core means withdrawal of all associated fellowships
- New fellowships can only be granted IA status if core status is Continued Accreditation
  - NO attached programs can be on Probation or in appeal

#### Citations in NAS

- Citations will be levied by RRC
- Could be removed quickly based upon:
  - Progress report
  - Site visit (focused or full)
  - New annual data from program



#### Site visits in NAS

No site visits (as we know them)

but...

- Focused site visits for an "issue"
- Full site visit (no PIF)
- Self-study visits every ten years



#### **Focused Site Visits**

- Assesses selected aspects of a program and may be used:
  - to address potential problems identified during review of annually submitted data;
  - to diagnose factors underlying deterioration in a program's performance
  - to evaluate a complaint against a program



#### **Focused Site Visits**

- Very short notification
- Minimal document preparation expected
- Team of site visitors
- Specific program area(s) looked at as instructed by the RRC





#### **Full Site Visits**

- Application for a new core program
- At the end of the initial accreditation period
- RRC identifies broad issues/concerns
- RRC Identifies other serious conditions or situations
- Short notification period
- Minimal document preparation
- Team of site visitors





#### **Annual Data Submission**

- Accuracy is IMPORTANT
  - Data can be updated at any time, but near end of the year ADS submitted to RRC
- Timeliness is IMPORTANT
  - Missing information is a data element that will be considered in the annual review



# NAS: Annual Data Submission

	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
ADS update												
Milestones (twice)												
Resident survey												
Faculty survey												
Board scores (from ABPM)												



# Program requirement changes PROGRAM EVALUATION COMMITTEES



# Program Evaluation Committee (PEC)

- V.C.1. The program director must appoint the Program Evaluation Committee (PEC). (Core)
- V.C.1).a) The Program Evaluation Committee:
- must be composed of at least two program faculty members and should include at least one resident; (Core)
- must have a written description of its responsibilities; and, (Core)



# Program Evaluation Committee (PEC)

- V.C.1.a)(3) (PEC) should participate actively in:
- (a) planning, developing, implementing, and evaluating educational activities of the program; (Detail)
- reviewing and making recommendations for revision of competency-based curriculum goals and objectives; (Detail)
- (c) addressing areas of non-compliance with ACGME standards; and, (Detail)
- reviewing the program annually using evaluations of faculty, residents, and others, as specified.

  (Detail)

## Some common questions





## Core Faculty

- Examples of faculty members that do not meet the definition of core faculty:
  - A physician who supervises residents and CRNAs 50/50 and has no other responsibilities (administrative, didactics, research) other than clinical work during those
  - A faculty member with a PhD, who is not a physician, and who works in the basic science laboratory without any administrative, didactics or clinical responsibilities

## Core Faculty

- Examples of faculty members that meet the definition of core faculty:
  - A physician who works in the ICU with responsibilities that include clinical supervision of residents; is a member of the Clinical Competency Committee; runs simulation; helps write resident curriculum, devoting at least 15 hours per week to resident education and administration
  - A physician scientist who spends most of his time conducting clinical outcomes research, with only 4 weeks per year of clinical time, but writes and provides didactics related to scholarship; and writes the curriculum for statistics, and conducts evidencebased journal club

# Core Faculty

All physician faculty with a significant role in the education of residents and who have documented qualifications to instruct and supervise

- Core faculty listed in scholarly activity table and complete faculty survey
- Core faculty roles:
  - Evaluate the competency domains;
  - Work closely with and support the program director;
  - Assist in developing and implementing evaluation systems;
  - Teach and advise residents

# Core Faculty

#### What about the 15 hours?

- Meeting criteria for core faculty is more important than hours
- If physician faculty meet all necessary criteria, adjust time on webADS to greater than 15 hours to indicate faculty member is core



# Anesthesiology Milestones

Deborah J. Culley, MD

Chair, Anesthesiology Milestones
Working Group



# Milestones working group

Deborah J. Culley, MD - Brigham & Women's, chair

Neal Cohen, MD, MPH, MS – UC, San Francisco

Steven Hall, MD, FAAP - Northwestern

Catherine Kuhn, MD – Duke

Linda Mason, MD – Loma Linda

Rita M. Patel, MD – University of Pittsburg

Scott A. Schartel, DO – Temple

Brian Waldschmidt, MD – UC, San Francisco, *resident* 

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Mark Warner, MD – Mayo Clinic

# Development process

Step 1 Three face-to-face meetings, numerous conference calls, webinars

Next step After completion of first draft feedback solicited from:

- Association of Anesthesiology Core Program Directors (AACPD)
- Society for Education in Anesthesiology (SEA)

Final revision First draft edited and sent to all program directors. This feedback used to develop final version.

## Milestones ARE...

- Progressive overtime
  - There is no prescribed speed at which residents must move across levels
  - Levels do not refer to post graduate year within a particular program
- Descriptions of resident competence
  - Residents may move to the right OR the left along the continuum at any one evaluation period

## Milestones ARE NOT...

- Graduation requirements
  - Program director makes decision if resident is ready for independent practice



General Competency

#### Subcompetency

Developmental progression

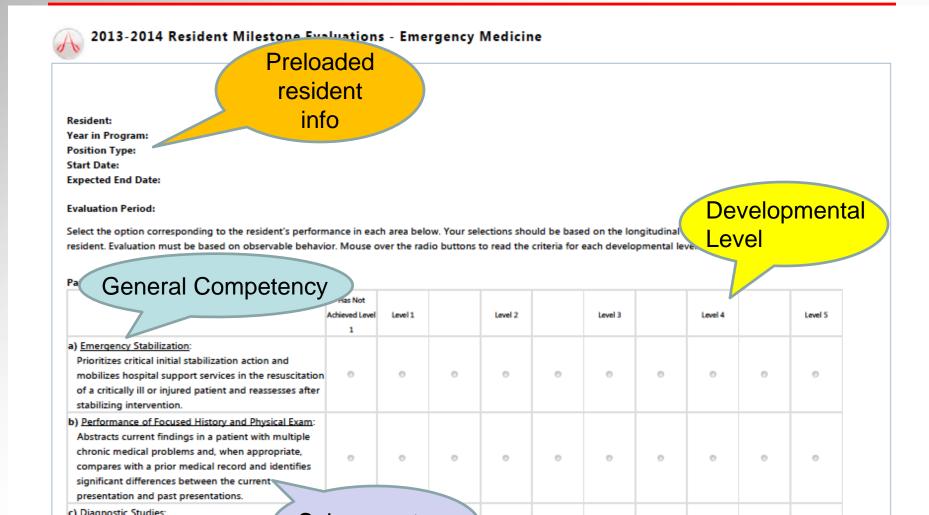
Patient Care 2: Anesthetic Plan and Conduct												
Has not Achieved Level 1	Level 1	Level 2	Level 3	Level 4	Level 5							
	Formulates patient care plans that include consideration of underlying clinical conditions, past medical history, and patient, medical, or surgical risk factors  Adapts to new settings for delivery of patient care	Formulates anesthetic plans for patients undergoing routine procedures that include consideration of underlying clinical conditions, past medical history, patient, anesthetic, and surgical risk factors, and patient choice  Conducts routine anesthetics, including management of commonly encountered physiologic alterations associated with anesthetic care, with indirect supervision	Formulates anesthetic plans for patients undergoing common subspecialty procedures that include consideration of medical, anesthetic, and surgical risk factors, and that take into consideration a patient's anesthetic preference  Conducts subspecialty anesthetics with indirect supervision, but may require direct supervision for more	Formulates and tailors anesthetic plans that include consideration of medical, anesthetic, and surgical risk factors and patient preference for patients with complex medical issues undergoing complex procedures with conditional independence  Conducts complex anesthetics with conditional independence; may	Independently formulates anesthetic plans that include consideration of medical, anesthetic, and surgical risk factors, as well as patient preference, for complex patients and procedures  Conducts complex anesthetic management independently  Milestone							
		Adapts to new settings for delivery of anesthetic care	complex procedures and patients	supervise others in the management of complex clinical problems								

Comments:

Selecting the box in the middle of the level implies all milestones in that level and lower levels have been demonstrated

Selecting the box between levels implies <u>ALL</u> Milestones in lower levels and <u>SOME</u> in upper levels have been demonstrated

# **ADS Milestone Reporting Tool**



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Subcompetency

# Mouse-over Milestone Description

lable data, narrows and ighted differential diagnoses to management.	0	0	0	°k,	0	0	0	0
appropriate pharmaceutical evant considerations such as ntended effect, financial a adverse effects, patient notential drug-food and institutional policies, and effectively combines agents venes in the advent of adverse	•	0	•	Constructs a list of potential diagnoses, on the greatest likelihood of occurrence Constructs a list of potential diagnoses the greatest potential for morbidity or mortality  Milestone				rrence noses with
essment: indergoing ED observation (and appropriate data and resources, itial diagnosis and, treatment	0	0	0	0	0	•	0	0



#### Assessment Issues

- Can the Milestones Report replace current assessment tools or end-of-rotation evaluation forms?
  - Pros: when it is relevant and fits the situation;
     when it is understood by the evaluator
  - Cons: when Milestones language is too broad or general or does not apply to the experience; too many milestones to assess

#### How do we Assess Milestones Levels?

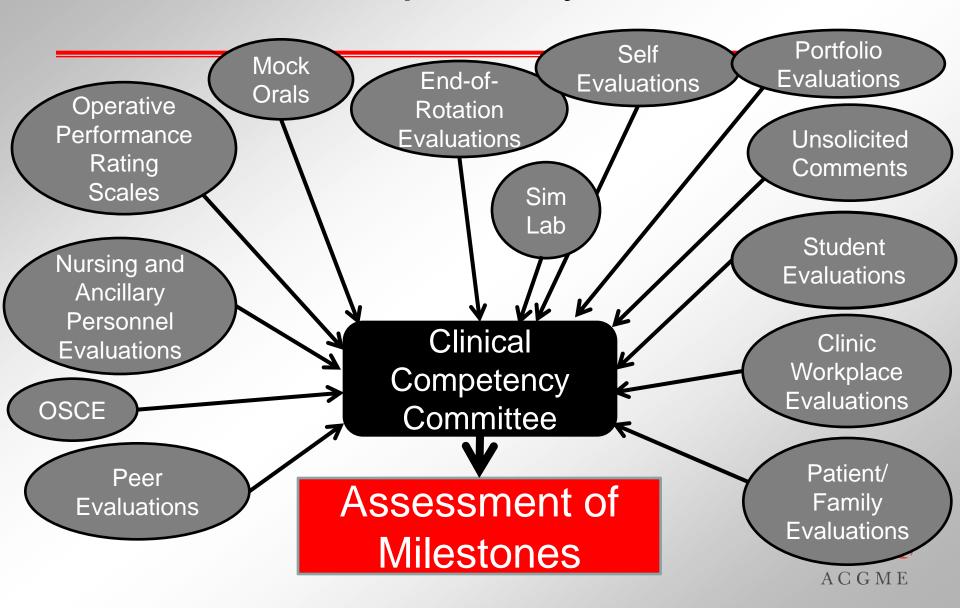
- Milestones are a summary of how a resident is progressing
- We have to gather data to be able to decide on how residents progress on the milestones
- Some subcompetencies may be more amenable to monthly, quarterly, or semiannual global rating scales, some may be collected once during the entire program

# The Resident's Milestone Level is Determined by the Clinical Competency Committee

- A group of faculty members looking at the Milestones
- The same set of eyes looking at other evaluations:
  - End-of-rotation
  - Nurses
  - Patients and families
  - Peers
  - Others
- The same process is applied uniformly
- Allows for more uniformity and less individual bias



# Clinical Competency Committee



### What Should a CCC Do First?

- Learn your specialty Milestones
  - Posted on Anesthesiology page of ACGME.org
- Decide how to assess the Milestones
- If necessary, identify new evaluation tools from program director associations, societies, colleges
- Faculty members should:
  - Discuss definitions and narratives
  - Agree on the narratives
  - Learn about assessment tools



# Program requirement changes

# CLINICAL COMPETENCY COMMITTEES



#### Clinical Competency Committee (CCC)

- V.A.1 The program director must appoint the Clinical Competency Committee. (Core)
- V.A.1.a) At a minimum the Clinical Competency Committee must be composed of three members of the program faculty. (Core)
- V.A.1.a) (1) Others eligible for appointment to the committee include faculty from other programs and non-physician members of the health care team. (Detail)

#### **Clinical Competency Committee (CCC)**

V.A.1.b) There must be a written description of the responsibilities of the Clinical Competency Committee. (Core)

V.A.1.b) (1) The Clinical Competency Committee should:

(a) review all resident evaluations semi-annually; (Core)

prepare and assure the reporting of Milestones

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evaluations of each resident semi-annually to

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(b)

advise the program director regarding resident progress, including promotion, remediation, and dismissal. (Detail)

# Thank you for your participation!

